

Sci Qual International

Appendix 4 - Specific Information relating to ISO 45001 Occupational Health & Safety Management Systems

HIGH Risk Occupational Health & Safety Management Sectors

(As defined by IAF MD 22 Table OH&SMS 2 Examples of linkage between business sectors & Complexity Categories of OH&S Risks)

Fishing (offshore, coastal dredging & diving)	<input type="checkbox"/>	Mining & quarrying	<input type="checkbox"/>
Manufacture of coke & refined petroleum products	<input type="checkbox"/>	Oil & gas extraction	<input type="checkbox"/>
Tanning of textiles & clothing	<input type="checkbox"/>	Pulping part of paper manufacturing including paper recycling processing	<input type="checkbox"/>
Oil refining	<input type="checkbox"/>	Logging	<input type="checkbox"/>
Chemicals (including pesticides, fabrication of batteries & accumulators), & pharmaceuticals	<input type="checkbox"/>	Manufacturing of fibreglass	<input type="checkbox"/>
Gas production, storage & distribution	<input type="checkbox"/>	Electricity generation & distribution	<input type="checkbox"/>
Storage of large quantities of hazardous material	<input type="checkbox"/>	Primary productions of metals	<input type="checkbox"/>
Hot & cold forming & metal fabrication	<input type="checkbox"/>	Manufacturing & assembly of metal structures	<input type="checkbox"/>
Shipyards – (large ships – see small ships in medium below)	<input type="checkbox"/>	Aerospace industry	<input type="checkbox"/>
Automotive industry	<input type="checkbox"/>	Manufacturing of weapons & explosives	<input type="checkbox"/>
Recycling of hazardous waste	<input type="checkbox"/>	Hazardous & non-hazardous waste processing e.g. incineration etc.	<input type="checkbox"/>
Effluent & sewerage processing	<input type="checkbox"/>	Industrial & civil construction, demolition, asbestos removal (including building completion with electrical, hydraulic & air conditioning installation activities). Maritime construction, commercial diving	<input type="checkbox"/>
Slaughter houses	<input type="checkbox"/>	Transport & distribution of dangerous goods (by land, air & water)	<input type="checkbox"/>
Defence activities/crisis management	<input type="checkbox"/>	Healthcare/hospitals/veterinary/social works	<input type="checkbox"/>

MEDIUM Risk Occupational Health & Safety Management Sectors

Aquaculture (breeding, rearing, & harvesting of plants & animals in all types of water environments)	<input type="checkbox"/>	Fishing (other than offshore fishing which is high)	<input type="checkbox"/>
Farming/forestry, Re-vegetation, GPS Mapping, plant & animal control	<input type="checkbox"/>	Food, beverage & tobacco – processing	<input type="checkbox"/>
Textiles & clothing except for tanning	<input type="checkbox"/>	Manufacturing of wood & wooden products including manufacturing of boards, treatment/impregnation of wood	<input type="checkbox"/>
Paper production & paper products excluding pulping	<input type="checkbox"/>	Warehousing & storage	<input type="checkbox"/>
Non-metallic processing & products covering glass, ceramics, clay, etc.	<input type="checkbox"/>	General mechanical engineering assembly, support & maintenance	<input type="checkbox"/>

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Manufacturing of metallic products	<input type="checkbox"/>	Surface & other chemically based treatment for metal fabricated products excluding primary production & for general mechanical engineering (depending on the treatment & the size of the component could be high)	<input type="checkbox"/>
Small Boat Building or Repair, Commercial diving	<input type="checkbox"/>	Repair & maintenance automotive, automotive electrical, automotive, paint & interior repair, machinery & equipment repair, domestic appliance repair & maintenance, electronic & precision equipment repair & maintenance	<input type="checkbox"/>
Production of bare printed circuit boards for electronics industry	<input type="checkbox"/>	Rubber & plastic injection moulding, forming & assembly	<input type="checkbox"/>
Electrical & electronic equipment assembly	<input type="checkbox"/>	Manufacturing of transport equipment & their repairs - road, rail & air (depending on the size of the equipment, could be high)	<input type="checkbox"/>
Recycling, composting, landfill of non-hazardous waste	<input type="checkbox"/>	Water abstraction, purification & distribution including river management (note commercial effluent treatment is graded as high)	<input type="checkbox"/>
Fossil fuel wholesale & retail (depending on the amount of fuel, could be high)	<input type="checkbox"/>	Transport of passengers (by air, land & sea)	<input type="checkbox"/>
Transport & distribution of non-dangerous goods (by land, air & water)	<input type="checkbox"/>	Industrial cleaning, hygiene cleaning, dry cleaning normally part of general business services, pest control services	<input type="checkbox"/>
Research & development in natural & technical sciences (depending on the business sector could be high). Technical testing & laboratories	<input type="checkbox"/>	Hotels, leisure services & personal services excludes restaurants	<input type="checkbox"/>
Residential care services. Social assistance	<input type="checkbox"/>	Arts & recreation services, parks & gardens, zoos & botanical gardens, nature reserves & conservation parks	<input type="checkbox"/>
Education services (depending on the object of teaching activities could be high or low)	<input type="checkbox"/>	Traffic control	<input type="checkbox"/>
Plumbing/Electrical services	<input type="checkbox"/>	Sports & leisure facilities	<input type="checkbox"/>
Engineering consulting requiring on-site activities	<input type="checkbox"/>	Small scale construction and renovations, road coverings, driveways, paths, roofs	<input type="checkbox"/>

LOW Risk Occupational Health & Safety Management Sectors

Corporate activities & management, HQ & management of holding companies	<input type="checkbox"/>	Wholesale & retail (depending on the product, could be medium or high, e.g. fuel) If in doubt specify in box below	<input type="checkbox"/>
General business services except industrial cleaning, hygiene cleaning, dry cleaning & education services). Architects, consulting engineers, rental equipment	<input type="checkbox"/>	Transport & distribution - management services with no actual fleet to manage	<input type="checkbox"/>
Engineering services	<input type="checkbox"/>	Telecommunications & post office services	<input type="checkbox"/>
Restaurants & catering	<input type="checkbox"/>	Commercial estate agency, estate management	<input type="checkbox"/>
Research & development on social sciences & humanities	<input type="checkbox"/>	Public administration, local authorities	<input type="checkbox"/>
Arts & recreation services, museum	<input type="checkbox"/>	Motion picture & sound recording, broadcasting, internet publishing & broadcasting, internet service providers	<input type="checkbox"/>
Computer system design & related services	<input type="checkbox"/>	Library & other information services	<input type="checkbox"/>
Creative & performing arts	<input type="checkbox"/>	Gambling activities	<input type="checkbox"/>
Clothing & footwear repair, other repair & maintenance	<input type="checkbox"/>	Financial institutions, advertising agency. Insurance & superannuation funds, rental & hire services	<input type="checkbox"/>
Labour hire, recruitment	<input type="checkbox"/>	Project management	<input type="checkbox"/>

If your business does not fit into one of the above categories, please describe your main industry sector below and indicate risk level based on the risks inherent in your business and the level of OHS controls you have in place.

High <input type="checkbox"/>	Medium <input type="checkbox"/>	Low <input type="checkbox"/>

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Notes:

Temporary sites covered by the organization's OH&S Management System are subject to audit on a sample basis to provide evidence of the operation and effectiveness of the management system. Temporary sites, for example, construction sites, shall be covered by the OH&SMS of the organization that has control of these sites, irrespective of where they are located.

Independently from the involvement of the competent regulatory authority, a special audit may be necessary in the event that Sci Qual International becomes aware that there has been a serious incident related to occupational health and safety, for example, a serious accident, or a serious breach of regulation, in order to investigate if the management system has not been compromised and did function effectively.

Information on incidents such as a serious accident, or a serious breach of regulation necessitating the involvement of the competent regulatory authority, provided by the certified client or directly gathered by the audit team during the above mentioned special audit, shall provide grounds for Sci Qual International to decide on the actions to be taken, including a suspension or withdrawal of the certification, in cases where it can be demonstrated that the system seriously failed to meet the OH&S certification requirements.

Accredited certification of an organization's OH&SMS indicates conformity with the requirements of the applicable OH&SMS standard and includes a demonstrated and effective commitment to compliance with applicable legal requirements.

The control of legal compliance by the organisation is an important component of the OH&SMS assessment and remains the responsibility of the organization. It should be stressed that SQI auditors are not inspectors of the OH&S regulator. They cannot not provide "statements" or "declarations" of legal compliance. Nevertheless, they can "verify the evaluation of legal compliance" in order to assess conformity with the applicable OH&SMS standard. Accredited certification of an OH&SMS as fulfilling the requirements in an OH&SMS standard cannot be an absolute and continuous guarantee of legal compliance but neither can any certification or legal scheme guarantee ongoing legal compliance. However, an OH&SMS is a proven and effective tool to achieve and maintain legal compliance and provides top management with relevant and timely information on the organisation's compliance status.

An OH&SMS standard requires a commitment to comply with legal requirements. The organisation shall be able to demonstrate it has achieved compliance with its applicable legal requirements through its own evaluation of compliance prior to SQI granting certification.

Certification of an OH&SMS as fulfilling the requirements in an OH&SMS standard confirms that the OH&SMS has been shown to be effective in achieving its policy commitments including fulfilment of legal compliance obligations and provides the foundation and support for an organization's continued legal compliance. In order to maintain the confidence of interested parties and stakeholders in the above attributes of the accredited certification of an OH&SMS, Sci Qual International shall ensure that the system has demonstrated effectiveness before granting, maintaining or continuing certification.

The OH&SMS can act as a tool for dialogue between the organisation and its OH&S regulators and form the basis for a trusting partnership, replacing historical adversarial "them and us" relationships. OH&S regulators and the public should have confidence in organizations with an accredited OH&SMS standard certificate and be able to perceive them as being able to constantly and consistently manage their legal compliance.