

Sci Qual International Pty Ltd  
 Enquiry & Application/Renewal Form for Certification  
 Appendix 3 - Specific Information relating to ISO/IEC 27001:2013

If any ISMS related information (such as ISMS records or information about design and effectiveness of controls) cannot be made available for review by the audit team because it contains confidential or sensitive information. SQI shall determine whether the ISMS can be adequately audited in the absence of such information. If SQI concludes that it is not possible to adequately audit the ISMS without reviewing the identified confidential or sensitive information, we shall advise the client that the certification audit cannot take place until appropriate access arrangements are granted.

Please specify below any information that will not be provided to the audit team because it contains confidential or sensitive information.

Please select the factors that apply to your business by placing a 1, 2, or 3 in the right hand column for each category. This will enable us to calculate if any additional or reduced time should be applied to the standard mandatory audit durations.

Factors related to business and organisation (other than IT)			Score
Category	Factor	Select	
Type(s) of business and regulatory requirements	Organisation works in non-critical business sector and non-regulated sectors	1	
	Organisation has customer in critical business sectors	2	
	Organisation works in critical business sectors	3	
Process and Tasks	Standard processes with standard and repetitive tasks; lots of persons doing work under the organisation's control carrying out the same tasks, few products & services	1	
	Standard but non-repetitive processes, with high number of products & services.	2	
	Complex processes, high number of products and services, many business units included in scope of certification (ISMS covers highly complex processes or relatively high number of unique activities)	3	
Level of establishment of the ISMS	ISMS is already well established and/or other management systems are in place	1	
	Some elements of other management systems are implemented, others not	2	
	No other management system implemented at all, the ISMS is new and not established	3	
<b>Total Business related Impact</b>			

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Factors related to IT Environment			
Category	Factor	Select	Score
IT infrastructure complexity	Few or highly standardised IT platforms, servers, operating systems, databases, networks, etc.	1	
	Several different IT platforms, servers, operating systems, databases, networks	2	
	Many different IT platforms, servers, operating systems, databases, networks	3	
Dependency on outsourcing and suppliers, including cloud services	Little or no dependency on outsourcing or suppliers	1	
	Some dependency on outsourcing or suppliers, related to some but not all important business activities	2	
	High dependency on outsourcing or suppliers, large impact on important business activities	3	
Information system development	None or very limited in-house system/application development	1	
	Some in-house or outsourced system/application development for some important business purposes	2	
	Extensive in-house or outsourced system/application development for important business purposes.	3	
Total IT Environment Factors			